NATIONAL DAIRY HERD IMPROVEMENT PROGRAM
UNIFORM OPERATING PROCEDURES
Effective March 5, 2020

NATIONAL DHIA DISCLOSURE AND USE POLICY

PURPOSE

National DHIA has developed policies that govern the access, use and disclosure of data, and other information, it receives from National DHIA Members and DHI program participants and those policies are incorporated into and are part of these Uniform Operating Procedures.

POLICY

A. INDIVIDUAL DATA DISCLOSURE AND USE

With respect to individual data, National DHIA has implemented a coding system consisting of three levels of disclosure and use, which are designated by numbers 1, 2 or 3:

<table>
<thead>
<tr>
<th>CODE</th>
<th>PERMITTED DISCLOSURE</th>
<th>PERMITTED USE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Data remains within the DHI system only and does not go beyond the DRPC</td>
<td>Data can be used by Field Service Affiliates, Laboratories and DRPCs to create new management tools and benchmarks.</td>
</tr>
<tr>
<td>2</td>
<td>Data flows from the DRPC to CDCB and AGIL but does not go beyond CDCB or AGIL</td>
<td>Research, management benchmarks, and the calculation and distribution of genetic and genomic evaluations</td>
</tr>
<tr>
<td>3</td>
<td>Data flows from the DRPC to CDCB and AGIL may then flow to any authorized recipient.</td>
<td>The specific purpose identified in the third-party license agreement entered between National DHIA and the authorized recipient</td>
</tr>
</tbody>
</table>

All coding preferences of National DHIA Members and/or DHI program participants must be honored. Copies of this coding system and election forms may be obtained from DHI Field Service Affiliates.

B. PERSONAL IDENTIFYING INFORMATION

National DHIA receives requests from third-parties for the names, addresses and/or other contact information (“Personal Identifying Information”) for its Members and/or DHI program participants. This includes requests for Personal Identifying Information that National DHIA and or its agent affiliates receive following the publication of the official evaluations published by the CDCB.

It is the policy of National DHIA and/or its agent affiliates to disclose the Personal Identifying Information of its Members and/or DHI program participants only for the purposes of possible participation in breed improvement or genetic programs by its Members and/or DHI program participants. To that end, National DHIA and its agent affiliates will only disclose Personal Identifying Information to a third-party if the third-party executes an agreement in writing governing the use of the Personal Identifying Information received. That agreement will provide, among other things, that (a) use of the Personal Identifying Information is expressly limited to contacting Members and/or DHI program participants about possible participation in breed improvement programs and genetic programs and may not be used for broader sales or marketing purposes by that third-party; (b) any third-party receiving the Personal Identifying Information may not give or sell the Personal Identifying Information to any other person and/or entity; and (c) the third-party must terminate use of and or delete such Personal Identifying Information immediately upon your request or the request of National DHIA and/or its agent affiliates.

All National DHIA Members and/or DHI program participants are provided the opportunity to and can elect out of any disclosure of personal identifying information and such individual decisions to opt-out of such disclosure must be honored. Opt-out forms may be obtained from DHI Field Service Affiliates.

C. SUMMARY DATA DISCLOSURE/PUBLICATIONS

National DHIA and DHI Providers may, from time-to-time, publish summary information compiled from herd and/or cow data gathered from its Members and/or DHI program participants through the DHI Program for the purposes of industry recognition, including, but not limited to, lactation data record(s) and genetic evaluation values on an animal basis and herd or herd group aggregated summary production data. All National DHIA Members and/or DHI program participants are provided the opportunity to and can opt out of any such disclosure/publication and such individual decisions to opt-out of such disclosure must be honored. Opt-out forms may be obtained from DHI Field Service Affiliates.